

New form response

www.aipla.org

Form: [ADR Listing Application Form FINAL](#)

A new response was submitted on 18 October 2019, 01:55 AM.

<i>Application for AIPLA List of Arbitrators and Mediators</i>	
1. This application is for:	Arbitrator, Mediator
2. Applicant is to be listed for:	Patent Disputes, Trade Secret Disputes, Trademark/Trade Dress Disputes, Copyright Disputes
3A. Name and Contact Information	
a. First Name:	Neil
b. Middle Initial/Name:	A.
c. Last Name:	Smith
d. Firm or Company Name:	Neil A. Smith, ADR, Mediation and Arbitration
e. Street Address:	1335 Sugarloaf Drive
f. City:	Alamo,
g. State:	CA
h. Zip:	04507

i. Telephone:	415-3779280
j. E-mail:	neilasmith@comcast.net
k. In what locales are you willing to serve?	Generally California, but with advance notice will travel to other locations for the convenience of parties and counsel.

3B. Brief summary of your ARBITRATION/MEDIATION PRACTICE

The information you provide in the text box below is extremely important because it, along with your name and location, will be the first substantive information about you that any person searching the LIST will see.

In the text box below please **(1) edit the first sentence** (required) so that its contents correspond to your answers to questions 1. and 2., above, and **(2) then add up to 700 characters of information about your arbitration and/or mediation practice.**

The resultant total information in the text box will appear in your entry in the LIST.

-	Neil Smith is an experienced dispute analyzer and resolver, available for mediation, arbitration, private judging, discovery and special master service, and other forms of Alternative Dispute Resolution, in patent and other intellectual property and technology cases. Mr. Smith served as the first Administrative Patent Law Judge on the Patent Trial and Appeal Board for the new Silicon Valley office of the Patent & Trademark Office. He is currently on the Mediation Panels of the US Court of Appeals for the Federal Circuit and the Northern District of CA., AAA, FedARB, WIPO, ROSE Arbitration, and has been named a leading tech neutral by the SVAMC.
---	--

4. Higher education

(all degrees received, stating degree, and school):	L.L.M. in Patent and Trade Regulation Law, George Washington Law School, 1973. J.D. Columbia Law School, Columbia University, 1969. Stone Scholar. B.S. in Mechanical Engineering, Columbia School of Engineering and Applied Science, Columbia University, 1966. Tau Beta Pi. B.A. in Physical Sciences, Columbia College, Columbia University, 1965.
---	--

5. Bar admissions (all states, agencies, etc.):

a. States:	District of Columbia (inactive), California, United States Patent and Trademark Office
b. Admitted to Court of Appeals for the Federal Circuit:	Yes

c. Admitted to U.S. Patent and Trademark Office:	Yes
d. Others:	
6. Formal mediation/arbitration training:	
a. Course(s); Institutions; Dates:	Trained by the U.S. District Court for the Northern District of California in its Alternative Dispute Resolution programs. Participated in several private ADR training programs, and have trained others in arbitration and settlement methods and procedures. Trained in Arbitration for membership in the Panel of American Arbitration Association.
b. Total Number of Hours:	Over 100
c. Approx. number of interactive, clinical hours:	40
d. Any Certificate Awarded?	Yes
7. Listed with formal mediator/arbitrator provider (AAA, ICDR, CPR, JAMS, others)?	
Provider(s)and Subject Matter of Panels, if appropriate.	AAA/IDCR FedARB World Intellectual Property Organization AIPLA Rose Patent Arbitration
8. Arbitration and/or Mediation Experience:	
a. Have you participated as arbitrator, or party attorney or representative, in at least one arbitration from beginning, through a complete trial, and arbitration decision/award?	Yes

b. Have you participated as mediator, or party attorney or representative, in at least one complete mediation?	Yes
Patent Law and Litigation Experience (does not have to be exclusive):	
c. Approximate Number of Years practicing patent law:	45
d. Approximate Number of Years practicing patent litigation:	40
e. What specific areas of technical knowledge and experience do you have and wish to identify? [Separate by semicolons (;)] [Limit of 450 characters]	Intellectual Property; IP Contracts & Licensing; Patent Prosecution; Patent Infringement; Copyright Protection, Infringement, Validity; Trademark Prosecution, Protection, and Validity; Trademark/ Domain Name Infringement; Trade Secret Misappropriation; IP Insurance; Data Privacy / Privacy Shield; Technologies: Automotive/Transportation; Aviation / Aerospace; Chemistry; Defense / Military; Electrical; Energy– Alternative Energy/Clean Tech;; Energy – Nuclear; Energy – Oil and Gas, etc.; Entertainment/Gaming Technologies; GeoScience / Earth & Planetary Sciences; Information Technology – Computer Hardware; Information Technology – Computer Networks & Data; Information Technology – Computer Software & Internet; Nanotechnology; Information Technology – Telecommunications; Information Technology – e-Commerce / Social Media / Digital Media; Life Science – Agriculture / Food; Medical Devices; Biotech / Pharmaceutica; Materials Science and Engineering; Mechanical Engineering/ Robotics; Nanotech; Optical Technologies; Physics; Semiconductor Manufacturing;
Trade Secret Law and Litigation Experience (does not have to be exclusive):	
f. Approximate Number of Years practicing trade secret law:	40
g. Approximate Number of Years practicing trade secret litigation:	40

Trademark/Trade Dress Law and Litigation Experience:

h. Approximate Number of Years practicing trademark/trade dress law:

40

i. Approximate Number of Years practicing trademark/trade dress litigation:

40

j. Have you handled cases involving survey evidence?

Yes

Copyright Law and Litigation Experience:

k. Approximate Number of Years practicing copyright law:

40

l. Approximate Number of Years practicing copyright litigation:

35

9. Other Memberships, Recognitions and Experiences

a. Major Professional Recognitions: [If, necessary, refer to your resume]

Named to the List of World's Leading Technology Arbitrators and Mediators by Silicon Valley Arbitration and Mediation Center Named U.S. IP Litigator of the Year, "Managing Intellectual Property," Named for over twenty years in "The Best Lawyers In America" for Litigation and Patent Law representation. Named in "Guide to World's Leading Experts in Patent Law;" "Who's Who of Internet and Electronic Commerce Lawyers;" "The International Who's Who of Business Lawyers" and the "International Who's Who of Trademark Lawyers" and "Chambers USA," "America's Leading Lawyers for Business" Named a SuperLawyer by "Northern California Super Lawyers" First Recipient of U.S. Patent and Trademark Office's Joseph Rossman Award

b. Professional and other Associations and Leadership Positions: [If,

Served in the following capacities, among others: President, San Francisco Patent & Trademark Law Association; American Intellectual Property Law Association: Board of Directors, multiple Committee Chairs; American Bar Association, IPL Section: Chairman of several Divisions, and committees, including programs, education, and university IP, IPL Section delegate to ABA

necessary, refer to your resume]	Special Committee on Legal Education, ABA RICO Committee; President, San Francisco Chapter, Licensing Executive Society; Member, Advisory Board of the Patent, Trademark and Copyright Journal of Bureau of National Affairs (Bloomberg); State Bar of California: Executive Committee Member, Intellectual Property Section and Officer and Member of International Law Section, and State Bar Conference of Delegates; Member, U.S. Dept. of Commerce Public Advisory Committee on Trademark Office Affairs; Trustee, United States Copyright Society; Pres. Bay Area Intellectual Property Chapter, American Inns of Court. Appointed Intellectual Property Research Specialist, California Blue Ribbon Task Force on Nanotechnology. Mediation/Arbitration Panels of the US Federal Circuit Court of Appeals, US District Court Northern District of Ca., World Intellectual Property Organization, and International Trademark Association.
c. Other relevant experience: (for example, judicial experience presiding over/settling patent and/or trade secret cases, teaching ADR and/or IP courses, etc.):	Selected by the United States District Court for the Northern District of California to participate in its Mediation Training Program as part of its Dispute Resolution programs. In addition to their one-day training program for Early Neutral Evaluators given by the United States District Court, participated in a two day training program directed toward mediation. Has been a member of that panel and is participating in mediation for the United States District Court for the Northern District of California. Active in training others in the use of Early Neutral Evaluation as a means of Alternative Dispute Resolution. Presented a program for the American Intellectual Property Law Association involving a model Early Neutral Evaluation session at its mid-winter educational meeting. In addition, has run a training program and a mock Early Neutral Evaluation program for the San Francisco Patent and Trademark Law Association, and the Annual Meeting for the State Bar of California Intellectual Property Section.
* * * * *	
10. A CURRENT RESUME OR CURRICULUM VITAE MUST BE UPLOADED BELOW. PLEASE CONFIRM THE ACCURACY THEREOF BY STATING "YES" BELOW:	Yes (Required)
Upload your resume now	CURRICULUM VITAE NAS patent-IP-ADR Lawrtf((3).rtf
11. PERSONAL CERTIFICATION:	
<i>I hereby represent that the foregoing information about me is true and correct as of this date, and that I meet the current AIPLA criteria, as stated on the AIPLA website, for serving as a Mediator and/or Arbitrator of the</i>	

types of disputes designated in Question 2 above. I hereby represent that I am a current member in good standing of the bar(s) (active or inactive) of the courts and agencies listed in question 5, and that there is no disciplinary activity pending against me in any court, agency, or bar association.

I acknowledge that if I am accepted for listing as an AIPLA arbitrator or mediator, the AIPLA has my permission to publish this application and all attachments thereto on the AIPLA website, for the benefit of those wishing to consult the list and the qualifications of those persons listed. I further understand and agree, if I am listed on the AIPLA List of Arbitrators and Mediators, that, for all arbitration and mediation matters wherein I am retained as a result of such listing, I will promptly inform the parties and counsel in writing that I am an independent contractor and that the AIPLA has no responsibility or liability for any of my acts or omissions.

Electronic Signature:

- s/Neil A. Smith/s

Date:

- Oct. 17, 2019

SUBMISSION AND PAYMENT INSTRUCTIONS:

1. REVIEW YOUR APPLICATION NOW.

You **MUST** review your Application above for correctness and completeness **BEFORE SUBMISSION** thereof!!!!

2. ACKNOWLEDGEMENT RECEIPT WITH PAYMENT INSTRUCTIONS:

Upon submission of your Application, you will receive a return acknowledgement e-mail from AIPLA, which e-mail will provide you with instructions for making your application fee payment. Fee payments will be accepted only via electronic submission; no check payments will be accepted by AIPLA. Your Application to be Listed will **NOT** be processed until your payment is received.

3. ELECTRONIC SUBMISSION:

Submission of this Application may be made only via electronic submission. No paper filings will be accepted by AIPLA. When you are satisfied with the accuracy and completeness of your Application, click on the Submit button below.